

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Mats LEIJON, et al.

SERIAL NO: 09/147,318

GAU: 2834

CPA FILED: NOVEMBER 23, 2001

EXAMINER: MULLINS, BURTON S.

FOR: ROTATING ELECTRICAL MACHINE COMPRISING HIGH-VOLTAGE STATOR WINDING AND...

#23 IDS  
D. EVANS  
5.21.02

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR,  
Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449 which was cited on an International Search Report. The other two references listed on that Search Report have been previously submitted to the USPTO in an Information Disclosure Statement previously filed, and is at least relevant to the present case as well as related "bulk filing applications" cases, as discussed in Paper No. 11 Response to Petition under 37 C.F.R. §1.182 Seeking Special Treatment Relating to an Electronic Search Tool, and Decision on Petition under 37 C.F.R. §1.183 Seeking Waiver of Requirements under 37 CFR 1.98 (i.e., the "Response to Petition"). Because the references were cited by foreign examiners in a foreign case that corresponds with one of the U.S. "Bulk filing applications", or were uncovered by the present assignee in the course of performing supplemental searches the references are believed to be relevant to the present application and bulk filing applications. Three copies of each of the listed references were provided in "holding application" Serial No. 09/147,325 filed February 17, 1999 to the Patent Office consistent with the requirements in the Response to Petition.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

05/14/2002 RNEBRAHT 00000134 09147318

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180.00 OP

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Bradley D. Lytle  
Registration No. 40,073

Thomas J. Fisher  
Registration No. 44,681



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Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/98)

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|--|----|--|----------|--|--|---------------------------------|-------------------------------|
| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br><b>9847-0006-6X PCT</b> |  | SERIAL NO.<br><b>09/147,318</b> |                               |
| LIST OF REFERENCES CITED BY APPLICANT  |    |  |          | APPLICANT<br><b>Mats LEIJON, et al.</b>    |  |                                 |                               |
|  |    |  |          | CPA FILED:<br><b>NOVEMBER 23, 2001</b>     |  | GROUP<br><b>2834</b>            |                               |
| <b>U.S. PATENT DOCUMENTS</b>   |    |  |          |  |  |                                 |                               |
| EXAMINER<br>INITIAL  |    | DOCUMENT<br>NUMBER   | DATE     | NAME                                       | CLASS                                      | SUB<br>CLASS                    | FILING DATE<br>IF APPROPRIATE |
|  | AA |  |          |  |  |                                 |                               |
|  | AB |  |          |  |  |                                 |                               |
|  | AC |  |          |  |  |                                 |                               |
|  | AD |  |          |  |  |                                 |                               |
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|  | AL |  |          |  |  |                                 |                               |
|  | AM |  |          |  |  |                                 |                               |
|  | AN |  |          |  |  |                                 |                               |
| <b>FOREIGN PATENT DOCUMENTS</b>  |    |  |          |  |  |                                 |                               |
|  |    | DOCUMENT<br>NUMBER   | DATE     | COUNTRY                                    | TRANSLATION<br>YES                      NO |                                 |                               |
|  | AO | WO 97/45908  | 12-04-97 | WIPO (ENGLISH)                             |  |                                 | X                             |
|  | AP |  |          |  |  |                                 |                               |
|  | AQ |  |          |  |  |                                 |                               |
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|  | AU |  |          |  |  |                                 |                               |
|  | AV |  |          |  |  |                                 |                               |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b> |    |  |          |  |  |                                 |                               |
|  | AW |  |          |  |  |                                 |                               |
|  | AX |  |          |  |  |                                 |                               |
|  | AY |  |          |  |  |                                 |                               |
|  | AZ |  |          |  |  |                                 |                               |
| Examiner   |    |  |          |  | Date Considered                            |                                 |                               |

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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